

Express Mail Label No.: EL527 1660US
Date Mailed: February 8, 2000

**UNITED STATES PATENT APPLICATION
FOR GRANT OF LETTERS PATENT**

**Paul W. Dent
INVENTOR**

**8-PSK TRANSMIT FILTERING USING
REDUCED LOOK-UP TABLES**

COATS & BENNETT, P.L.L.C.

P.O. Box 5
Raleigh, NC 27602
(919) 854-1844

8-PSK TRANSMIT FILTERING USING REDUCED LOOK-UP TABLES

FIELD OF THE INVENTION

The present invention relates generally to a method and apparatus to perform phase modulation in a digital communications system, and more particularly, to a method and apparatus to perform phase modulation using reduced look-up tables.

BACKGROUND OF THE INVENTION

In the prior art of digital radio communication, it is well known that linearly filtered transmissions can achieve superior spectral containment, thus reducing adjacent channel interference. Linearly filtered transmissions may be produced according to the prior art by applying information-symbol-representative impulses to a premodulation filter of desired characteristics. Such impulses, in the case of binary symbols, are impulses of area either +1 or -1. In the case of complex modulation, which produces both variations of the signal phase and amplitude creating a time-varying signal vector in the two-dimensional complex plane, the symbol-representative impulses have both a real (x or In-phase) part usually denoted by I_i , and an imaginary (y or Quadrature) part denoted by Q_i . The complex symbol $S_i = I_i + jQ_i$ may be filtered by separately filtering the I and Q sequences.

It is also known in the prior art that filtering may be performed using Finite Impulse Response (FIR) filters, which perform a weighted sum over the sliding window of successive symbols. Recent innovations in FIR filters are disclosed in U.S. Patent No. 5,867,537 to Applicant, which is hereby incorporated by reference.

Also in the prior art, it is known that all possible output values of an FIR filter may be precomputed and stored in a look-up table, providing the number of symbols L over the sliding FIR filter window is not too large. The number of stored output waveforms must be M^L when using symbols selected from an alphabet of M possible values. To reduce this number, U.S.

Patent No. 5,867,537 to Applicant splits the look-up table into two tables, each addressed by $L/2$ symbols. When M is large, for example 8 in an exemplary implementation, the size of the look-up table can nevertheless be excessive. Therefore, there is a need to reduce the size of look-up tables for generating filtered modulation waveforms for 8-PSK and similar modulations.

SUMMARY OF THE INVENTION

A linearly-filtered 8-PSK signal for transmission is formed by dividing each 8-PSK symbol into its three constituent information bits B_1 , B_2 , and B_3 . Bits B_1 and B_3 are combined to form a first derived bit denoted B_1' . Bits B_2 and B_3 are combined to form a second derived bit denoted B_2' . All the bit values are regarded as having values of +1 or -1. Successive ones of the B_1 bits are then clocked into a first register of length L bits, the L register bits addressing a look-up table holding filtered signal values based on a length- L impulse-response filter. For each new B_1 bit clocked into the first register, a number of first filtered signal values corresponding to instants within one symbol period are extracted from the look-up table. Similarly, the B_2 , B_1' , and B_2' bits are clocked into respective registers and used to address filtered second, third, and fourth signal values respectively. Then the first filtered signal values are combined with the second filtered signal values to form one of the two complex parts of the desired complex filtered signal for transmission. The third filtered signal values are combined with the fourth filtered signal values to form the other of the two complex parts of the desired, filtered, complex signal. The resulting filtered, complex signal values are then used to modulate a linear transmitter.

Thus according to the above embodiment, the look-up table used to represent filtered values is reduced from 8^L values to 2^L values. The look-up table has, moreover, \pm symmetry allowing it to be further reduced by one-half. Thus, when using the invention, look-up tables of reasonable size may be used to generate a filtered 8-PSK signal.

In a preferred implementation, the look-up tables hold single-bit, oversampled sigma-delta representations of the filtered waveforms over each symbol period. These may be converted to analog waveforms by simple low-pass filtering, thus eliminating D-to-A convertors.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a conventional 8-PSK signal constellation;

Figure 2 is a block diagram of a look-up table waveform generator of the prior art;

Figure 3 is a rotated 8-PSK signal constellation used in the present invention;

Figure 4 is a block diagram of a reduced look-up table waveform generator according to the present invention.

Figure 5 is an second embodiment of the waveform generator according to the present invention.

Figure 6 is a third embodiment of the waveform generator according to the present invention.

DETAILED DESCRIPTION OF THE INVENTION

Figure 1 shows a conventional 8 point signal constellation used in the prior art for 8-PSK modulation. The signal constellation is a geometric representation of the modulation scheme. Each signal point on the constellation represents a two dimensional vector with I and Q components, where I represents the real part of a complex waveform and Q represents the imaginary part of the complex waveform. According to the prior art, octal symbols comprising three information bits are mapped to points on the signal constellation. The corresponding waveform is then generated and impressed on the phase of the carrier to transmit the octal symbol. There is a one-to one correspondence between possible octal symbols and signal points in the constellation. Thus, a signal constellation includes 2^n signal points, where n equals the number of symbol bits. In 8-PSK modulation, the input symbols have 3 bits, B1, B2, and B3,

and the signal constellation has 8 points corresponding to the eight possible symbols. Each signal point in the constellation has one of eight possible values:

$$\begin{aligned} &+1 \\ &-1 \\ &+j \\ &-j \\ &(1+j)/\text{root}2 \\ &(1-j)/\text{root } 2 \\ &(-1+j)/\text{root}2 \\ &(-1-j)/\text{root } 2 \end{aligned}$$

Figure 1 shows one mapping used to assign symbols to signal points on the signal constellation. While this mapping may be done in many ways, the preferred assignment is to use Gray encoding. When Gray encoding is used, adjacent signal points differ by only one binary digit. Because the most likely error caused by noise involves the erroneous detection of an adjacent phase at the receiver, only a single bit error occurs in the k-bit sequence with Gray encoding.

During modulation, L successive symbols $S_1, S_2, S_3 \dots S(L)$, each having one of these 8 values, are combined in an FIR filter having coefficients $C_1, C_2, C_3 \dots C(L)$ to obtain a filtered value V given by the following equation:

$$V = C_1.S_1 + C_2.S_2 + C_3.S_3 \dots + C(L).S(L) \quad \text{Eq. (1)}$$

Since each symbol has one of eight possible values, V may take any one of 8^L or 2^{3L} possible values. The filter values are pre-computed and stored in a look-up table. For $L=7$, for example, there would be 2^{21} or 2 million complex values for each waveform point, leading to an excessive look-up table size, even after exploiting the 4-fold symmetries that exist.

Figure 2 illustrates a waveform generator, indicated generally by the numeral 100, used in the prior art for 8-PSK modulation. The waveform generator 100 includes an input register 102, a look-up table 106, a divide by 4 counter 104 for clocking the look-up table 106, a pair of D/A converters 108a,b for converting the real and imaginary values output from the look-up table 106 to analog signals, a pair of filters 110a,b for smoothing output analog signals, and a quadrature modulator 112 for impressing the generated waveforms onto a carrier signal. Octal symbols comprising three information bits each are clocked sequentially into register 102, which stores the last L symbols. The 3L bits from the register 102 are applied to look-up table 106, which stores filtered waveform values V representing filtered segments of the modulation waveform. To describe a signal waveform corresponding to the filtered signal, several numerical samples corresponding to a plurality of sampling points on the waveform over each symbol period must be stored, and each numerical sample comprises a real and an imaginary value. The number of sampling points per symbol period is called the oversampling factor, and must be high enough to represent the smoothly changing waveform, thus avoiding the need for stringent additional smoothing filters to take out excessive step-changes. For example, if an oversampling factor of 4 is used, four real and four imaginary values of perhaps 8-bit precision each must be stored for each symbol period, giving a memory size of $2 \times 4 \times 8 \times 2^{21}$ bits, or 128 megabits, which is a very large memory. The 4 successive sampling points within each symbol period are selected by sequencing divide by 4 counter 104 through its four states 11, 01, 10, 11 using a 4x symbol-rate clock. The real and imaginary waveform values output from the look-up table 106 are converted to analog signals by the D/A converters 108a and 108b, and then the analog outputs are smoothed to remove the steps at the oversampling rate by filters 110a and 110b. The complex analog modulation waveform is then applied to I, Q or quadrature modulator 112 to generate the octal modulated signal at a given radio carrier frequency. Quadrature modulators and improvements thereto are described in U.S. Patent No. 5,530,722 which is hereby incorporated by reference herein.

One drawback to look-up table waveform generators of the prior art is that the number of filtered waveform values V that must be pre-computed and stored is exponentially related to the number L of consecutive symbols used to generate the filtered waveform values V . Thus, when L becomes large, the number of filtered waveform values V that must be pre-computed and stored quickly becomes unmanageable. In the example given where $L = 7$, there are 2^{21} or 8^7 complex values that need to be pre-computed and stored in the look-up table.

It is possible to reduce the number of complex waveform values V that must be pre-computed and stored by rotating the signal constellation 22.5 degrees as shown in Figure 3. Using the rotated signal constellation, the real and imaginary parts of the 8 possible constellation points are always one of the four values:

+sin(22.5°)
-sin(22.5°)
+sin(67.5°)
-sin(67.5°)

These values are related to the three 8-PSK bits by the following linear equations:

$$I = aB_2 - bB_2.B_3 \quad \text{Eq. (2)}$$

$$Q = aB_1 + bB_1.B_3 \quad \text{Eq (3)}$$

where $a = 0.5[\sin(67.5^\circ) + \sin(22.5^\circ)]$ and $b = 0.5[\sin(67.5^\circ) - \sin(22.5^\circ)]$. $B_1.B_3$ is still a binary value, which can be denoted by B_1' . Likewise, $-B_2.B_3$ is still a binary value, which can be denoted by B_2' .

Using the rotated signal constellation, the real or I waveform points become expressible as linear functions of two bits B_1 , B_1' and the imaginary or Q waveform points become

expressible as linear functions of B2, B2'. Denoting the filtering operation by a function F, then the following relationship exists between the I and Q waveforms and the binary values B1, B1', B2, and B2':

$$F(I) = F(aB2 - bB2.B3) = aF(B2) + bF(B2') \quad \text{Eq (4)}$$

$$F(Q) = F(aB1 + bB1.B3) = aF(B1) + bF(B1') \quad \text{Eq (5)}$$

The coefficients a and b are the same as defined above. Of course, using other relations between the three bits and the I and Q values other octal constellations may be produced according to the present invention.

By separately producing filtered waveforms F(B1), F(B1'), F(B2) and F(B2') from sequences of B1, B1', B2 and B2', and post-combining the filtered waveforms using weighting factors a and b as defined above, it is only necessary to construct a look-up table for filtering binary bit sequences.

Figure 4 illustrates a waveform generator according to the present invention that implements the rotated signal constellation indicated generally by the numeral 200. The waveform generator 200 includes a logic circuit 202 for combining the bits of the octal symbol, a register 204, a selector 206, a look-up table 208, a divide-by-4 counter 210, a timing unit 212, two adders 214a,b, two D/A converters 216a,b, two filters 218a,b, and a quadrature modulator 220. Look-up table 208 stores filtered waveform segment data which may, for example, contain numerical samples of filtered waveform segments. Logic 202 receives three-bit 8-PSK symbols comprising bits B1, B2, B3 at a symbol rate Fs. Bits B1, B2, B3 correspond to a segment of a modulation waveform. Logic 202 outputs bits B1, B1', B2 and B2' to four registers 204a, 204b, 204c and 204d at a symbol rate Fs. Registers 204a – 204d equate to register 102 of Figure 2. Registers 204a - 204d contain bit sequences that are used to address look-up table 208.

Registers 204a – 204d are selected in turn by selector 206, which is controlled by counter 210 and timing unit 212 to be the source of the L-bit address for look-up table 208. Look-up table 208 now stores 2^L real waveform values per oversampling point, as opposed to the 2^{3L} of the prior art look-up table 106 of Figure 2. When $L=7$, table 208 thus need store only 128 waveform values per oversampling point, or even 64 when +/- symmetry is exploited, making a total of 256 waveform values for four samples per symbol. The waveform values obtained from look-up table 208 when seven successive B1 bits are selected as the address by selector 206, representing a segment of waveform $F(B1)$, are loaded into holding register 214b. The waveform values obtained using seven B2 bits, representing a segment of waveform $F(B2)$, are loaded into register 214a. When a waveform value is extracted using seven B1' bits as the address, which represent a segment of waveform $F(B1')$, the extracted waveform value is added to register 214b. When seven B2' bits are used to address the look-up table 208 which represent a segment of waveform $F(B2')$, the extracted waveform value is added to register 214a. The addition in both cases being done with a weighting factor of a:b as shown in Equations 4 and 5. The addition operation is not explicitly shown in Figure 4 but understood to be incorporated into accumulator registers 214a,b. Four waveform values are output. Thus a final I-value and a Q-value are obtained in registers 214b, 214a which are then latched into D/A converters 216a,b at an oversampling rate of 4Fs and converted by D/A converters 216a, 216b to analog signals as before. The analog signals are filtered by filters 218a, 218b, and modulated by quadrature modulator 220. Counter 210 is sequenced through its states using a clock of 16 times the symbol rate to generate output values with an oversampling ratio of 16/4.

It is also possible to use the resistive network FIR filter techniques as described in U.S. Patent No. 5,867,537 or in my co-pending application filed simultaneously herewith entitled "Combined Transmit Filter and D-to-A Conversion", the latter being also hereby incorporated by reference herein. These disclosures describe how to produce the FIR filtering function for a binary chip or bit sequence using resistive combining networks to implement the weighting

coefficients $C_1 \dots C(L)$. Thus, another implementation of the invention uses four filters constructed using any of the incorporated resistive combining network techniques to generate filtered waveforms $F(B_1)$, $F(B_2)$, $F(B_1')$ and $F(B_2')$ which are then combined in the ratio $a:b$ with a plus and a minus sign respectively to generate the imaginary and the real Q and I modulating waveforms. The weighting ratio $a:b$ may be simply arranged by choosing the impedance levels of the networks producing $F(B_1)$ and $F(B_2)$ to have the ratio b/a to the impedance level of the networks producing $F(B_1')$ and $F(B_2')$, addition then being achieved by simply wiring the outputs $F(B_1)$ and $F(B_1')$ in parallel, and likewise for $F(B_2)$ and $F(B_2')$.

Figure 5 is a block diagram of a waveform generator 300 according to the present invention that uses resistive combining networks to generate the Q and I waveforms. The waveform generator 300 includes a logic unit 302, shift registers/resistive combining networks 306, 308, 310, 312, balanced filters 314, 316, and a quadrature modulator 318. Resistive combining networks 306, 308, 310, 312 may, for example, be constructed as shown in my U.S. Patent No. 5,867,537, or in my co-pending application entitled "Combined Transmit Filter and D-to-A Converter," filed simultaneously herewith. An 8-PSK symbol stream composed of 3 bit streams B_1 , B_2 , B_3 enters logic unit 302 to form B_1' and B_2' streams as before. Bit streams B_1 , B_1' then drive shift-register/resistive combining networks 306 and 308, to generate filtered Q waveform values at an oversampling rate of, for example, four waveform values per symbol, as described in the above-incorporated references. The outputs of resistive combining networks 306, 308 are added in the ratio $a:b$ simply by arranging their relative impedance scalings Z_a and Z_b respectively to be in the ratio $Z_a:Z_b = b:a$ and wiring their outputs in parallel. Bit streams B_2 and B_2' are input to registers/resistive combining networks 310 and 312 respectively to generate filtered I waveform values at the same oversampling rate. The outputs of resistive combining networks 310, 312, having impedance scaling Z_a and Z_b respectively, are added in the same $a:b$ ratio. Thus, balanced Q and I signals are generated that can be further filtered

using balanced filters 314 and 316 to remove the 4Fs steps before application to a balanced modulator 318.

D/A converters are so-called mixed signal components (part digital, part analog technology) which one would rather avoid in the interests of being able to integrate functions into a digital integrated circuit chip. Likewise, the resistive combining networks of Figure 5 cannot always be constructed in a particular integrated circuit technology. Therefore, there is a need for an implementation that avoids using analog circuit technology.

In the prior art, a known form of digital representation of analog signals is delta-sigma modulation. Delta sigma modulation represents a signal between 0 and 1 by a fast alternating sequence of 0's and 1's that contains a ratio of 1's to 0's, such as to give the desired mean value. The sequence can be chosen so that the error waveform, which is the difference between the 1/0 waveform and the desired waveform, has reduced low frequency content and mostly high-frequency content that can be easily removed with a simple low-pass filter. Thus, once a delta-sigma representation is generated, it can be converted to an analog waveform with a simple low-pass filter. A bipolar signal can be represented as the difference between two complementary delta-sigma waveforms, which are then filtered by a balanced filter as disclosed in the above-incorporated '722 patent.

In the prior art, it was also known to generate delta-sigma representations of a filtered modulation waveform over a symbol period as a sequence of 1's and 0's by using a computer off-line, i.e., during the design process, which sequences could then be remembered in a look-up table. This technique is employed in cellular telephones conforming to the GSM standard manufactured and sold worldwide by L.M. Ericsson since 1992. The current invention allows this economical technique to be extended to higher order constellations such as 8-PSK or 16-QAM without excessively large look-up tables.

When the look-up table stores delta-sigma encoded waveform values, the waveforms can be read one or more bits at a time into a holding register successively for addresses given

by L bits of B1, B1', B2 and B2'. The holding registers for B1, B1' are then clocked out and their outputs are added in the ratio a:b using two resistors, for example. Preferably, the complementary waveforms are generated at the same time and the waveform and its complement form a balanced I-signal which is filtered with a balanced filter to drive a balanced modulator, as described in the above-incorporated '722 patent to Applicant. Likewise, the holding registers for B2, B2' are clocked out to generate a balanced Q-signal.

FIG 317 A waveform generator for generating 8-PSK waveforms using 48 times oversampled delta sigma representations is shown in Figure 6 and is indicated generally at 400. The waveform generator 400 includes a logic circuit 402, shift registers 404a, 404b, 404c, 404d, selector 406, look-up table 408, divide by four counter 410, timing unit 412, buffers 414, 416, 418, and 420, resistive networks 422, 424, balanced filters 426, 428, and a balanced quadrature modulator 430. Octal symbols (B1, B2, B3) enter logic 402 at the symbol rate F_s . Logic 402 outputs B1, B2, B1' and B2' at rate F_s to registers 404a - 404d. Selector 406 and clock 408, which is driven at $4F_s$, select registers 404a, 404b, 404c, and 404d in proper sequence to be output to the address input of look-up table 408. Timing generator 412 generates a timing pulse to one of the buffers 414, 416, 418, or 420 respectively to latch the output of table 408 for each address input. In the exemplary implementation of Figure 6, look-up table 408 outputs all 48 sigma-delta samples per symbol period at a time, which are latched in one of the four 48-bit buffers 414, 416, 418, or 420. When all buffers are full, their 48-bit contents are clocked out serially at the rate $48F_s$. It will be appreciated that, in order to be able to load a new 48-bit value while the last 48-bit value is still being clocked out, buffers 414, 416, 418, and 420 should be double-buffers, also known as parallel-to-serial convertors.

FIG 325 Buffers 414, 416, 418, and 420 preferably output each bit and its complement in order to generate a balanced, bipolar sigma-delta waveform representation. The outputs of buffers 414, 416, which correspond to bitstreams B2 and B2' and when added in the ratio a:b by proper choice of Z_a and Z_b , generate the I-part of the desired filtered 8-PSK waveform. Likewise

buffers 418, 420, which correspond to bitstreams B1 and B1' and when added in the ratio a:b, generate the Q-part of the 8-PSK waveform. These balanced I,Q waveforms contain high-frequency sigma-delta quantizing noise due to the 48-times oversampled sigma-delta representation stored in table 408, which however, is easily removed by simple, balanced low pass filters 426, 428 before quadrature modulation, as described in the '722 patent. Other bus widths than 48 may be used between table 408 and buffers 414, 416, 418, and 420 if more convenient. For example, table 408 can output the 48 bits as six, 8-bit bytes at a rate of 6Fs by supplying another three address bits from a divide-by-6 counter clocked at 24 Fs. By suitable design of a divide-by-24 counter to provide both the two register selection bits and the extra three address bits to table 408, the output bytes can be in the order:

byte 1 for B1
 byte 1 for B2
 byte 1 for B1'
 byte 1 for B2'
 byte 2 for B1
 byte 2 for B2
 byte 2 for B1'
 byte 2 for B2'
 etc.

allowing buffers 414, 416, 418, and 420 to be reduced to 8-bit parallel to serial convertors which are refilled 6 times over every Fs period.

Using the arrangement of Figure 6, a digital interface 432 may be constructed between buffers 414, 416, 418, 420 and resistor networks 422, 424 so that the circuit excluding the resistors may be fabricated as an entirely digital integrated circuit having eight digital outputs. The resistor networks 422, 424 may be incorporated into an analog integrated circuit comprising the balanced low-pass filters 426, 428 and the quadrature modulator 430. The eight-line

9867	9868	9869	9870	9871	9872	9873	9874	9875	9876
9877	9878	9879	9880	9881	9882	9883	9884	9885	9886
9887	9888	9889	9890	9891	9892	9893	9894	9895	9896
9897	9898	9899	9900	9901	9902	9903	9904	9905	9906
9907	9908	9909	9910	9911	9912	9913	9914	9915	9916
9917	9918	9919	9920	9921	9922	9923	9924	9925	9926
9927	9928	9929	9930	9931	9932	9933	9934	9935	9936
9937	9938	9939	9940	9941	9942	9943	9944	9945	9946
9947	9948	9949	9950	9951	9952	9953	9954	9955	9956
9957	9958	9959	9960	9961	9962	9963	9964	9965	9966
9967	9968	9969	9970	9971	9972	9973	9974	9975	9976
9977	9978	9979	9980	9981	9982	9983	9984	9985	9986
9987	9988	9989	9990	9991	9992	9993	9994	9995	9996
9997	9998	9999							

13